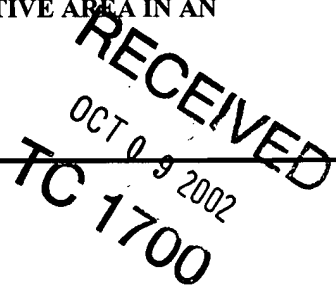


1745

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. PES-0040	
In Re Application Of: MOLTER, ET AL.			EJY 10/16/02 #6	
Serial No. 09/965,679	Filing Date SEPTEMBER 27, 2001	Examiner	Group Art Unit 1745	
Title: APPARATUS AND METHOD FOR MAINTAINING COMPRESSION OF THE ACTIVE AREA IN AN ELECTROCHEMICAL CELL				
Address to: Assistant Commissioner for Patents Washington, D.C. 20231				
37 CFR 1.97(b)				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
37 CFR 1.97(c)				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);				
OR				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).				



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(f))

Docket No.
PR-0040In Re Application: **MOLTER, ET AL.**

Serial No.

09/965,679

Filing Date

SEPTEMBER 27,

Examiner

Group Art Unit

1745

**APPARATUS AND METHOD FOR MAINTAINING COMPRESSION OF THE ACTIVE AREA IN
ELECTROCHEMICAL CELL****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class MailI certify that this document and fee is being deposited
October 3, 2002 with the U.S. Postal Service
as first class mail under 37 C.F.R. 1.8 and is
addressed to the Assistant Commissioner for Patents,
Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Sonia Mancini

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by
deposit account.

Signature

Pamela J. Curbelo

Registration No. 34,676

Customer No. 23462

(860) 286-2929

Dated: October 3, 2002

CC:

PTO-1449		Attorney's Docket Number PES-0040		Serial Number 09/965,679		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT LIST OF ITEMS				Name of Applicant MOLTER, ET AL.		
(Use several sheets if necessary)				Filing Date SEPTEMBER 27, 2001		
U.S. PATENT DOCUMENTS				Group 1745		
Examiner's Initials	Document Number	Date	Name	Class	Subclass	Filing Date if appropriate
	1 4,844,922	07/04/1989	Strasser	429	34	09/23/1988
	2 5,366,823	11/22/1994	Leonida, et al.	429	34	12/17/1992
	3 5,472,801	12/05/1995	Mattejat, et al.	429	39	10/12/1993
	4 5,547,777	08/20/1996	Richards	429	32	02/23/1994
	5 6,096,450	08/01/2000	Walsh	429	34	02/11/1998
	6 4,912,286	03/27/1990	Clarke	174	110 A	08/16/1988
	7 5,281,496	01/25/1994	Clarke	429	218	12/22/1992
FOREIGN PATENT DOCUMENTS						
	Document Number	Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
	8 WO 98/57384	17/12/1998	International			
	9 WO 99/27599	03/06/1999	International			
	10 WO 00/39362	06/07/2000	International			
	11 GB 966407	12/08/1964	United Kingdom			
	12 JP 2160371	20/06/1990	Japan			
	13 JP 5166523	02/07/1993	Japan			
	14 JP 58164170	29/09/1983	Japan			
	15 JP 5101836	23/04/1993	Japan			
OTHER INFORMATION (Including Author, Title, Date, Pertinent)						
	16	English Translation of JP 10162842 Abstract Only (Japan), 1 page				
	17	English Translation of JP 02160371 Abstract Only (Japan), 1 page				
	18	English Translation of JP 05166523 Abstract Only (Japan), 1 page				
	19	English Translation of JP 58164170 Abstract Only (Japan), 1 page				
	20	English Translation of JP 05101836 Abstract Only (Japan), 1 page				
	21	International Search Report, International Application No. PCT/US01/30207; International Filing Date: 27 September 2001, 7 pages				
	22	International Search Report, International Application No. PCT/US01/30251; International Filing Date: 27 September 2001				
	23	"Conductive Ceramic Technology", The Ebonix Company, http://www.netcontex.com/atraverda/index.htm ; January 15, 2002, 11 pages				
	24	Ebonex Patent Abstracts; Pages 1-22				
EXAMINER				DATE CONSIDERED		
<p>* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.</p>						